

JC  
JCWSCS 2 3 APR 2004

APR-22-04 03:33PM FROM-Merchant & Gould 3

6123329081

T-334 P.001/004 F-513

**Merchant & Gould**  
An Intellectual Property Law Firm

3200 IDS Center  
80 South Eighth Street  
Minneapolis, Minnesota  
55402-2215 USA  
TO 612.332.5300  
FAX 612.332.9081  
www.merchant-  
gould.com

A Professional Corporation

Fax Transmission | April 22, 2004

TO: Commissioner for Patents  
Attn: Examiner Filing Receipt Corrections  
P.O. Box 1450  
Alexandria, VA 22313-1450

FROM: Douglas P. Mueller

OUR REF: 10873.0489USC2  
TELEPHONE: 612.371.5237

Total pages, including cover letter: 4

PTO FAX NUMBER 1-(703) 746-9195

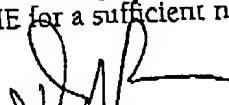
If you do NOT receive all of the pages, please telephone us at 612.332.5300, or fax us at 612.332.9081.

Title of Document Transmitted:

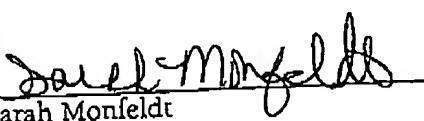
REQUEST FOR CORRECTED FILING  
RECEIPT; MARKED-UP COPY OF FILING  
RECEIPT

Applicant: NAKATA et al.  
Serial No.: 10/699348  
Filed: October 30, 2003  
Group Art Unit: 2872  
Our Ref. No.: 10873.0489USC2  
Confirmation No. 5400

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By:   
Name: Douglas P. Mueller  
Reg. No.: 30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

  
Sarah Monsfeldt

April 22, 2004

Date

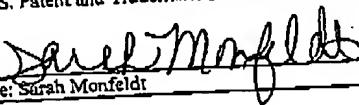
PATENT

S/N 10/699348

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	NAKATA et al.	Examiner:	unknown
Serial No.:	10/699348	Group Art Unit:	2872
Filed:	October 30, 2003	Docket No.:	10873.0489USC2
Title:	METHOD OF MANUFACTURING OPTICAL HEAD		

CERTIFICATE UNDER 37 CFR 1.6(d):  
I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on April 22, 2004.

By:   
Name: Sarah Monfeldt

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a photocopy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections. The number of claims is incorrect, please note claims 8 and 9 were canceled at the time of filing.

Please replace [16] with 14.

Correction of the records of the United States Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

By 

Douglas P. Mueller  
Reg. No. 30,300

Dated: April 22, 2004

DPM:smm

23552

PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Commissioner for Patents  
 P.O. Box 1450  
 Washington, D.C. 20231-1450  
 (202) 707-3000

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWNGS	TOT CLMS	IND CLMS
10/699,348	10/30/2003	2872	770	10873.0489USC2	13	16 14	2

CONFIRMATION NO. 5400

23552  
 MERCHANT & GOULD PC  
 P.O. BOX 2903  
 MINNEAPOLIS, MN 55402-0903

## FILING RECEIPT



OC000000011847976

Date Mailed: 02/06/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hideki Nakata, Soraku-gun, JAPAN;  
 Hironori Tomita, Ikoma-shi, JAPAN;  
 Hideki Aikoh, Higashiosaka-shi, JAPAN;

## Assignment For Published Patent Application

Matsushita Electric Industrial Co., Ltd., Kadoma-shi, JAPAN;

## Domestic Priority data as claimed by applicant

This application is a CON of 09/491,100 01/25/2000

## Foreign Applications

JAPAN 10-216140 07/30/1998

If Required, Foreign Filing License Granted: 02/05/2004

Projected Publication Date: 05/13/2004

Non-Publication Request: No

Early Publication Request: No

Title

PROLAW

Method of manufacturing optical head

Preliminary Class

359

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).